

APPLICATION OF OPENING SECURITIES FORM (FOR COMPANIES)

	PERSONAL DATA
Name of Company	
Address	
Tel No	Fax Fax
Company Registration No.	Private Private
Date of Registration	Place of Incorporation
E - mail	
Nature of Business	
	BANK ACCOUNT
Bank	Branch Branch
Type of Account	Account No.
	PRESENT BROKERS (if any)
	MAILING INSTRUCTIONS (Please tick \checkmark)
Please post our correspondence to our o	
INTRODUCED BY	
We hereby declare that the information of	given above is true and correct.
Director	Director (Company Seal)
Authorised Signature	
Authorised Signature	
Date	Date
Application handed over on	

Note: This form should be completed and retained with the Member Firm in Accordance with F1U regulations. Know Your Customer (KYC) Profile For Individuals Only This information is sought under the Prevention of Money Laundering Act No. 6 of 2005, Financial Transaction Reporting Act No. 6 of 2006 and the Rules for the Securities Industry issued by the Financial Intelligence Unit of the central Bank of Sri Lanka.

All the information as applicable in section A,B, and C below is mandatory for the Application and section D should be Completed by the Authorized person (s) if applicable. S Section A (Basic Account Information) 1. Nature of entry: Please tick () Limited liability company Public Limited company Global & Regional Fund Local Fund Statutory Body A Body established under An act of Parliament A Body established under an act of parliament Other (Please Specify) 2. Status: Please tick (V) Local Foreign No 3. Whether Listed in CSE: Please tick () Yes If listed please Specify ction B (Address & Contact Details of the Application **Contact Details** a) Name of the Key Contact Person Telephone No. (office) Telephone No. (res.) Mobile Number Fax Number E - mail address Section C (other Details Expected Value of Investment per annum: Please tick (V) Rs. 100,000 to Rs. 500,000 Rs. 500,000 to Rs. 1,000,00 Less than Rs. 100,00 Rs. 1,000,000 to Rs. 2,000,000 Rs. 2,000,000 to Rs. 3,000,000 Rs. 3,000,000 to Rs. 4,000,000 Rs. 4,000,000 to Rs. 5,000,000 Rs. 5.000,000 to Rs. 10,000,000 Over Rs. 10,000,000 Source of Funds : Please tick (✓) Sale and Business turnover **Business Ownership** Investment Contract Proceeds Sale of Property / Assets Investment Proceeds / Savings Gift Membership Contributions **Export Proceeds** Profit / Revenue Investment Proceed / Savings Commission income Donations / Charities (Local / Foreign) Donations / Charities (Local / Foreign Other (Specify) Other Connected Business / Professional activities & Business interests 3. Other Details / Remarks / Notes: (if any) 4. Section D (Authorized Signatories Details) Capacity Name of the person 1. 2. 3. Signature/s of the Authorised Signatory / Signatories



This Agreement is made and entered	nto on thisday of Two Thousand and	٠.
() at Colombo.		
(1)	<name>[bearing National Identity Car</name>	d
No./Company registration No)of <address></address>	
(2)	[bearing National Identity Card No	٥.
of		
(3)	[bearing National Identity Card No	of
	(hereinafter sometimes jointly and severally referred to as the	
"Client/s") of the One Part.		

And

ASIA SECURITIES (PRIVATE) LIMITED a company duly incorporated under the laws of Sri Lanka bearing Company registration No. PV 1924 and having gits registered office at 4th Floor, Lee Hedges Tower, 349, Galle Road, Colombo 3 (hereinafter referred to as "the Stockbroker Firm" which term or expression has herein used shall where the context requires or admits mean and include the said ASIA SECURITIES (PRIVATE) LIMITED, its successors and permitted assigns) of the Other Part;

The Client/s and the Stockbroker Firm shall hereinafter be collectively referred to as "Parties" and each individually as "Party".

WHEREAS the Stockbroker Firm is a Member/Trading Member of the Colombo Stock Exchange (hereinafter referred to as the 'CSE') and is licensed by the Securities and Exchange Commission of Sri Lanka (hereinafter referred to as the 'SEC') to operate as a Stockbroker;

AND WHEREAS the Client/s is/are desirous of trading on the securities listed on the CSE through the said Stockbroker Firm and the Stockbroker Firm agrees to provide such services to the Client/s in accordance with the applicable Rules of the CSE, CDS, SEC and other applicable laws of Sri Lanka.

NOW THEREFORE THIS AGREEMENT WITNESSETH and it is hereby agreed by and between the Parties hereto as follows:

1.0 RIGHTS AND RESPONSIBILITIES OF THE CLIENT/S

- 1.1 Subject to clause 1.5 below;
 - a) In the event of a Joint Account, the Client/s shall provide to the Stockbroker Firm, the name/s of the persons;
 - authorized to give trading orders and settlement instructions; and,
 - to whom payments by the Stockbroker Firm are to be made.
 - b) In the event of a Corporate Client Account, the Client shall provide to the Stockbroker Firm, the name/s of specific directors and officers authorized to;
 - trade in securities; and,
 - execute all documentation for trading and settlement in the account, together with a copy of the Board resolution certified by the Company Secretary evidencing same.

The aforesaid person/s shall hereinafter be referred to as 'authorized person/s'.

- 1.2 The Client/s shall notify the Stockbroker Firm in writing, if there is any change in the contact and/or other information provided by the Client/s to the Stockbroker Firm, within seven (7) calendar days of such change.
- 1.3 Subject to clause 1.5 below, in the event the Client/authorized person(s) (as applicable) intends to purchase and/or sell securities, the Client/authorized person(s) (as applicable) shall give specific order instructions to the Investment Advisor (an employee of the Stockbroker Firm, who is certified by the CSE/SEC to deal with Clients) assigned to deal with the Client/s regarding same.
- 1.4 The Client/authorized person(s) (as applicable) authorize/s the Stockbroker Firm to accept order instructions given by the Client/authorized person(s) (as applicable) to the Stockbroker Firm pertaining to the CDS Account of the Client/s through electronic means and other means including telephone, Short Message Service (SMS), E-mail and Fax. The order instructions provided by the Client/authorized person(s) (as applicable) through aforesaid means shall not be revoked or withdrawn by the Client/authorized person(s) (as applicable) after the execution of the order and shall therefore be confirmed.

1.5 If the Client/s intends the Stockbroker Firm to use the Stockbroker Firm's own judgment, expertise and discretion to buy and/or sell securities on behalf of the Client/s, the Client/s shall provide the prior written authorization to the Stockbroker Firm for same.

The said written authorization provided by the Client/s to the Stockbroker Firm shall clearly include the following:

Name of the Client/s and the CDS Account Number;

- Effective Date of the authorization;
- Applicable period of the authorization;
- Investment objective (short time, long term, trading in any specific industry, any other specifications); and,
- Purpose of giving discretion to the Registered Investment Advisor.
- The Client/s shall ensure that cleared funds are made available to the Stockbroker Firm in respect of the securities purchased by the Stockbroker Firm on behalf of the Client/s, by 09.00 hours on the settlement date of such purchase transaction and if the Client/s fail/s to make payment as aforesaid, the Stockbroker Firm may, at its absolute discretion, charge an interest commencing from the day after the settlement date at a rate decided by the Stockbroker Firm, but not exceeding 0.1% per day as specified in the Stockbroker/Stock Dealer Rules of the CSE.

The Client/s shall accept the liabilities arising from all authorized transactions executed in the CDS Account of the Client/authorized person(s) (as applicable) by the Investment Advisor.

1.7 If the Client/s has/have a complaint against the Stockbroker Firm relating to a particular transaction/s, the Client/s shall first refer such complaint to the Compliance Officer of the Stockbroker Firm, in writing, within a period of three (3) months from the date of the transaction/s.

Where the Client/s is/are not satisfied with the decision given by the Stockbroker Firm or the manner in which the complaint was dealt with by the Stockbroker Firm, the Client/s may refer the complaint to the CSE, in writing, in accordance with the Procedure set out by the CSE (which is available on the CSE website, www.cse.lk).

- 1.8 The Client/s agree/s that the Stockbroker Firm may, at its absolute discretion, sell not only the securities in respect of which payment has been defaulted by the Client/s, but also any other securities lying in the CDS Account of the Client/s in respect of which payment has been made by the Client/s, in full or part, in order to enable the Stockbroker Firm to recover the monies due to the Stockbroker Firm from the Client/s including interest and other applicable charges.
- 1.9 The Client/s shall not;
 - a. use any funds derived through illegal activity for the purpose of settling purchases of securities to the Client's CDS Account.
 - b. enter into any verbal or written agreement/s with the employee/s of the Stockbroker Firm to share profits arising from the transactions carried out on behalf of the Client/s by the Stockbroker Firm.

2.0 RIGHTS AND RESPONSIBILITIES OF THE STOCKBROKER FIRM

- 2.1 Subject to clause 2.3 below;
 - a) In the event of a Joint Account, the Stockbroker Firm shall obtain from the Client/s, the name/s of the persons;
 - authorized to give trading orders and settlement instructions; and,
 - to whom payments by the Stockbroker Firm are to be made.
 - b) In the event of a Corporate Client Account, the Stockbroker Firm shall obtain from the Client/s, the name/s of specific directors and officers authorized to;
 - trade in securities; and,
 - execute all documentation for trading and settlement in the account, together with a copy of the Board resolution certified by the Company Secretary evidencing same.
 - c) the Stockbroker Firm shall carry out all transactions based on the specific order instructions provided by the Client/authorized person(s) (as applicable) through the communications channels specified in clause 1.4 of this Agreement.
- 2.2 Prior to accepting any orders from a third party on behalf of the Client/s, the Stockbroker Firm shall



- first obtain the written authorization of the Client/s empowering the third party to trade on behalf of the Client/s through the Client's CDS Account.
- 2.3 The Stockbroker Firm shall not exercise the discretion to buy or sell securities on behalf of the Client/s, unless the Client/s has/have given prior written authorization to the Stockbroker Firm to effect transactions for the Client/s without his/their specific order instructions as set out in clause 1.5 of this Agreement.
- 2.4 The Stockbroker Firm shall send to the Client/s a note confirming the purchase and/or sale of securities (bought/sold note) by the end of the trade day (T).
 - Upon obtaining the prior consent of the Client/s, the Stockbroker Firm may send the bought/sold notes to the Client/s in electronic form to the e-mail address provided by the Client/s for such purpose.
- 2.5 The Stockbroker Firm shall send a Statement of Accounts to the Client/s who is/are debtor/s over Trade Day + 3 (T+3), on a monthly basis by the 7th day of the following month. This should apply when the client/s has/have had transactions during the month and the "interest charged on delayed payment" should also be considered as a transaction for this purpose. Such Statement of Accounts shall specify the transactions in the account including receipts and payments during the month under reference
- 2.6 In the event the Statements of Accounts are issued electronically, the Stockbroker Firm shall obtain the consent of the Client/s and retain evidence of such consent.
- 2.7 The Stockbroker Firm shall provide a copy of its latest Audited Financial Statements filed with the CSE to a Client/s, upon request by such Client/s.
- 2.8 The Stockbroker Firm shall communicate in writing, directly with its Client/s in respect of statements, bought/sold notes or any other information unless the Client/s has/have authorized the Stockbroker Firm otherwise in writing.
- 2.9 The Stockbroker Firm shall ensure that 'cleared funds' are made available to the Client(s) /authorized person(s) (as applicable) on the settlement date, unless the Client/s has/have expressly permitted the Stockbroker Firm, in writing, to hold the sales proceeds for future purchases.
- 2.10 Upon the request of the Client/s, the Stockbroker Firm may:
 - a) extend credit facilitates to the Client/s solely for the purpose of purchasing securities on the CSE and in accordance with the applicable Rules set out in the CSE Stockbroker Rules and terms and condition mutually agreed to between the Client/s and the Stockbroker Firm by way of a written agreement for extension of such facilities.
 - b) provide internet trading facilities to such Client/s based on a written agreement mutually agreed between the Client/s and the Stockbroker Firm, in accordance with the requirements applicable to Internet Trading published by the CSE from time to time.
- 2.11 The Stockbroker Firm shall assign a Registered Investment Advisor to deal with the Client/s and shall inform such Client/s regarding the name and contact details of the Registered investment Advisor assigned to such Client/s. Further, the Stockbroker Firm shall inform the Client in writing regarding any change to the Registered Investment Advisor within seven (7) Calendar Days of such change.
- 2.12 The Stockbroker Firm shall forthwith notify the Client/s in writing, if there is any material change in contact or other information provided to the Client/s by the Stockbroker Firm.
- 2.13 The Stockbroker Firm undertakes to maintain all information of the Client/s in complete confidence and the Stockbroker Firm shall not disclose such information to any person except in accordance with the Stockbroker Rules of the CSE.
- 2.14 The Stockbroker Firm shall disclose to the Client/s, the existence of any incentive scheme applicable for employees of the Stockbroker Firm, which is based on turnover generated from the transactions carried out by the employees for the Client/s.
- 2.15 The Stockbroker Firm may recover any outstanding balance arising from the purchase of securities of the Client/s from the sales proceeds due to the buyer only in the circumstances set out in the Stockbroker Rules of the CSE.
- 2.16 The Stockbroker Firm shall provide services to the Client/s in compliance with the applicable Rules of



the CSE, CDS, SEC and other applicable laws of Sri Lanka.

3.0 RISK DISCLOSURE STATEMENT

- 3.1 The Stockbroker Firm agrees that a member of its staff who is authorized by the Board of Directors of the Stockbroker Firm to make declarations on behalf of the Stockbroker Firm has explained the applicable Risk Disclosures to the Client/s and has executed the declaration set out in Schedule 1 hereto in proof of same and such Schedule 1 shall form part and parcel of this Agreement.
- 3.2 The Client/s agree/s and acknowledge/s that he/she/it has understood the Risk Disclosures explained by the Stockbroker Firm and executed the Acknowledgement set out in Schedule 2 hereto and such Schedule 2 shall form part and parcel of this Agreement.

4.0 INDEMNITY AND LIMITATION OF LIABILITY

- 4.1 Each Party hereto, agrees to indemnify, defend and hold harmless the other Party against any loss, liability, damages, claims and costs, which each such Party may sustain by reason of negligence and/or breach of the terms and conditions hereof committed by the other Party hereto or its representatives. The aggrieved Party shall be entitled to enforce its/his/her indemnity rights by injunction or other equitable relief in any competent court of law in Sri Lanka.
- 4.2 The Client/s agrees/s that the Stockbroker Firm will not be liable for any losses arising out of or relating to any cause which is beyond the control of the Stockbroker Firm.

5.0 TERMINATION

- 5.1 This Agreement shall forthwith terminate, if the Stockbroker Firm for any reason ceases to be a Member/Trading Member of the CSE or if the license issued to the Stockbroker Firm by the SEC is cancelled.
- The Parties shall be entitled to terminate this Agreement upon giving notice in writing of not less than fourteen (14) calendar days to the other Party.
- 5.3 Notwithstanding any such termination, all rights, liabilities and obligations of the Parties arising out of or in respect of the transactions entered into prior to the termination of this Agreement shall continue to be in force.

6.0 GENERAL

- Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules of the CSE, SEC and other applicable laws of Sri Lanka.
- 6.2 The terms and conditions contained in this Agreement shall be subject to the applicable Rules, Regulations, Guidelines and Directions issued by SEC, Rules and Circulars of the CSE and other applicable laws of Sri Lanka.

In the event of any contradiction between the terms and conditions hereof and the applicable Rules, Regulations, Guidelines and Directions issued by SEC, Rules and Circulars of the CSE or other applicable laws of Sri Lanka, the applicable Rules, Regulations, Guidelines and Directions issued by SEC, Rules and Circulars of the CSE or other applicable laws of Sri Lanka (as applicable) shall prevail.

IN WITNESS WHEREOF the Parties to the Agreement have set their respective hands hereto and to one (01) other of the same tenor and date as herein above mentioned.

Si	gnature of the Client(s)/Authorized	Authorized Signatory of the
Si	gnatory of the Client(s)	Stockbroker Firm
Sign Here	1 2 3	
W	itness:	Witness:

DECLARATION

employee of ASIA SEC	URITIES (PVT) LTD, who is duly authorized by the Board of Directors of the Stockbroker Firm
	s behalf hereby confirm that the following risks involved in investing/trading in securities listed
	change ('Risk Disclosure Statements') were clearly explained by me to
	sk Disclosure Statements, ask questions and take independent advice if the Client/s wish/es to:
and bolow mondoned ra	on biologue of atomorito, ask questions and take independent advice in the olientes wishies to.
a) The prices	s of securities fluctuate, sometimes drastically and the price of a security may depreciate in value
	even become valueless.
	ble that losses may be incurred rather than profits made as a result of transacting in securities.
	able to invest funds that are not required in the short term to reduce the risk of investing.
c) it is advise	sible to invest funds that are not required in the short term to reduce the risk of investing.
Signed on behalf of the	Stockbroker Firm by
oigned on benan or the	Stockbroker Fiffi by
Signature	· (DIA)
-	: (RIA) : Registered Investment Advisor (RIA)
Designation	
Date	:
	COUEDINE
	SCHEDULE
	<u>ACKNOWLEDGEMENT</u>
No. / Company registra	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registra (2)	tion No
No. / Company registration (2)	tion No
No. / Company registration (2)	tion No
No. / Company registration (2)	tion No
No. / Company registra (2)	ition No
No. / Company registrat (2)	[bearing National Identity Card No
No. / Company registrat (2)	tion No
No. / Company registrat (2)	[bearing National Identity Card No
No. / Company registration (2)	[bearing National Identity Card No
No. / Company registrat (2)	[bearing National Identity Card No
No. / Company registration (2)	[bearing National Identity Card No
No. / Company registrat (2)	[bearing National Identity Card No
No. / Company registration (2)	[bearing National Identity Card No

KNOW YOUR CUSTOMER (KYC) PROFILE

(To be retained by the Participant)

In instances where the Securities Account will be maintained through a Custodian Bank, it is not mandatory to complete this Form 2A.

We declare that the information set out below is true and accurate and our investments will be in accordance with such information.

		***************************************	*******		***************************************	
2. Expected value of In	vestment	per annum (Please mark pla	cing a	b		
Less than Rs. 100,000		Rs 1,000,000 to Rs 2,000,000		Rs 4,000,000 to Rs	5,000,000	
Rs 100,000 to Rs 500,000		Rs 2,000,000 to Rs 3,000,000		Rs 5,000,000 to Rs	10,000,000	
Rs 500,000 to Rs 1,000,000		Rs 3,000,000 to Rs 4,000,000		Over Rs 10,000,000)	
3. Source of funds (Plea.	se mark pla	cing a \)				######################################
Business Ownership		Investment Proceeds/ Savings		Commission Inco	ome	
Business Turnover	П	Sale of Property/ Assets		Export proceeds		
nvestments		Gifts		Profits		
Contract Proceeds		Donations / Charities (Local / Foreign)		Others (Specify)		
(FATCA) of the US? Tyes, FATCA declaration has	s to be sub	ns of the Foreign Account (Please mark placing a V) mitted along with application for the second sec	orm.		Yes	No
No, In the event if I/We be	come a II	S nerson linner FAIL A OF IIS I/	VVE DO	nereby		-
ndertake to inform the said	fact to th				Yes	No
Politically Exposed In Politically Exposed In Politically Exposed In Political Polyton Political	Persons (or have be leads of Stanior executor management)	e Participant immediately	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent	Yes	No 🗆
Politically Exposed In Politically Exposed In Politically Exposed In Politically Exposed In Political Poli	Persons (or have be leads of Stanior executor management)	PEPs) (Please mark placing a V) ren entrusted domestically/ Internate or of government, senior politices of state owned corporations ment or individuals who have been	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent		No
Politically Exposed In Politically Exposed In Politically Exposed In Politically Exposed In Political Poli	Persons (or have be leads of Stanior executor management)	PEPs) (Please mark placing a V) ren entrusted domestically/ Internate or of government, senior politices of state owned corporations ment or individuals who have been	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent		No 🗆
Do you have persons who are sublic function (for example Hudicial or military officials, se officials.), as members of seniounctions, i.e. directors, deputy	Persons (or have be leads of Stanior executor management)	PEPs) (Please mark placing a V) ren entrusted domestically/ Internate or of government, senior politices of state owned corporations ment or individuals who have been	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent		No
Do you have persons who are sublic function (for example Hudicial or military officials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify	Persons (or have be leads of Strinior executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equiv	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent		No
Do you have persons who are sublic function (for example Hudicial or military officials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify	Persons (or have be leads of Strinior executor managen directors a	PEPs) (Please mark placing a V) ren entrusted domestically/ Internate or of government, senior politices of state owned corporations ment or individuals who have been	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent		No
S. Politically Exposed In Politically Exposed In Politically Exposed In Politically Exposed In Political Politicals of Section (for example Hudicial or military officials, selfficials.), as members of seniounctions, i.e. directors, deputy for "Yes" please clarify	Persons (or have be leads of Strinior executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equiv	ationally ticlans, s s, impor	with a prominent senior government, tant political party ed with equivalent		No □
Do you have persons who are sublic function (for example Hudicial or military officials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify Any other connected	Persons (or have be leads of Strainfor executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals.	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		
Politically Exposed In o you have persons who are ublic function (for example Hadicial or military officials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify Any other connected. Person(s) authorized.	Persons (or have be leads of Strainfor executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equiv	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		
Politically Exposed I o you have persons who are ublic function (for example H idicial or military officials, se fficials.), as members of senic unctions, i.e. directors, deputy "Yes" please clarify Any other connected Person(s) authorized	Persons (or have be leads of Strainfor executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals.	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		
Politically Exposed I o you have persons who are ublic function (for example H idicial or military officials, se fficials.), as members of senic unctions, i.e. directors, deputy "Yes" please clarify Any other connected AME/S & ESIGNATION ELEPHONE	Persons (or have be leads of Strainfor executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals.	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		
Politically Exposed In Politically Exposed In Politically Exposed In Politically Exposed In Political Politicals or military officials, selficials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify Any other connected In Person(s) authorized In Indiana In Indiana Indi	Persons (or have be leads of Strainfor executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals.	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		
Politically Exposed In Politically Exposed In Politically Exposed In Politically Exposed In Political Politicals or military officials, selficials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify Any other connected In Person(s) authorized In Indiana	Persons (or have be leads of Strainfor executor managen directors a	PEPs) (Please mark placing a V) een entrusted domestically/ Internate or of government, senior politives of state owned corporations ment or individuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals who have been not members of the board or equividuals.	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		
Politically Exposed In the said of your have persons who are ublic function (for example Hudicial or military officials.), as members of seniounctions, i.e. directors, deputy "Yes" please clarify Any other connected	Persons (or have be leads of Stanior executor managem directors a	PEPs) (Please mark placing a 1) ren entrusted domestically/ Internate or of government, senior politices of state owned corporations ment or individuals who have been not members of the board or equivalence. Sees/ Professional activities	ationally icians, s s, impor a entrust alent fu	y with a prominent senior government, tant political party ed with equivalent actions.		

ASIA SECURITIES (PVT) LTD - BENEFICIAL OWNERSHIP FORM

Declaration of Beneficial Ownership	
has been issued under the Customer Due Diligence Rule No.1 of 2016 issued in terms of the Financial Transaction Reporting Act of 2006. This form, or an approved equivalent, to be completed by customers of financial institutions designed under the Acts to the beyold be original completed and signed and witnessed version of this form must be rancial institution and available to the competent authorities upon request.	, is est of
Identification:	
d Account Number) I Designation of Natural pening Account	
g. No. and address of the son for which the seeing opened	annon sa
ed No. Trustee and f legal arrangement for account is being opened	
hat I:	
am the beneficial owner of the customer for this account	
am not the beneficial owner of the customer of this account. Complete identifying information for all beneficial owners that own or control 10% or more of the custome equity, beneficial owners on whose behalf the account is being operated, and at least person who exercises effective control of the legal entity regardless of whether such p is already listed	one
	has been issued under the Customer Due Diligence Rule No.1 of 2016 issued in terms of the Financial Transaction Reporting Act of 2006. This form, or an approved equivalent to be completed by customers of financial institutions designed under the Acts to the be vieldege. The original completed and signed and witnessed version of this form must be reaccial institution and available to the competent authorities upon request. Identification: d Account Number) d Designation of Natural pening Account g. No. and address of the special opened and signed and witnessed version of the customer for this account is being opened and the special owner of the customer of this account. The special owner of the customer of this account. Complete identifying information for all beneficial owners that own or control 10% or more of the custome equity, beneficial owners on whose behalf the account is being operated, and at least person who exercises effective control of the legal entity regardless of whether such person who exercises effective control of the legal entity regardless of whether such person who exercises effective control of the legal entity regardless of whether such person whose pendical owners on whether such person whose pendical equity regardless of whether such person p

2. beneficial owner as "a natural person who ultimately owns or controls a customer or the person on whose behalf a transaction is being conducted and includes the person who exercises ultimate effective control over a person or a legal arrangement."

Name	NIC/ Passport # country of issue/country of citizenship	DOB	Current Address	Source of Beneficial Ownership (1 = Equity % 2 = Effective Control 3= Person on whose behalf account is opened or whose behalf account is operated	Politically Exposed Person (PEP)

		#*************************************			

Details of the custo	omer authorized				
Name :					
NIC/Passport					
Date of Birth:					**************************************
Signature :		r 11 . *			
		r all info	ormation contained he	rein and you acknowledge	e and
understand the above Verification of Bene	**************************************				
vermeation or bene	ancial Ownership				-
		***************************************		en sammen en e	***************************************
	al Institution Official				
Name : Dilini Henna	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	***************************************			
Title: VP Legal & Co		33mmm000mmn000vvv661100			
Date: 2019		and the second and th			
		ne 660°000-0000000000000000000000000000000			

^{3.} Politically exposed person means an individual who entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individuals.

· .	Assign	pp									g	of	S	ec	uri	itie	es	Ac	cco	oui	nt	(F	0	r C	ò	m	ра	ni	es	5)					Sig	natu Stan artic	np o	and f	
A	ssign	leu (T	1	1	T	T	T	T	13)			7																					_					_
٠ ـ					_		1		_		_	_	ا 	1	_	т-	_			T	Т		_			Т	Т			1	T	T	-	_		T	T	7	
NAME OF COMPA	YNY				_	L	\perp	\perp					_	1	\perp	ᆜ	_		_	L	_	4	_			_	_	_	_	<u>_</u>	+	ᆣ	_			+	+	_	_
						L	I											- 1	41	L_	L				-						1	_					_		
REGISTERED AD	DRE	SS			Т	Τ	T	T	T	T			T	T	T	T	T				Τ	T				T	T												
					+	\pm	÷	$\dot{\top}$	十				T	T	T	T	T			T	T	T			Г	T	T			T	T	T			T	T	T		
						_	_	_		_		_	_	+	+	+	_	_	_	_	+				_														
				L	_	_	L	1			_			1	_						_																		
							T						L																										
CORRESPONDE	NCE	:			T	T	T	T	T	T		Г	T	T	T	T		Γ	Γ	T	T	T	-		T	T	1			T	T					I			
ADDRESS				-	+	+	+	+	1	1		1	+	\dagger	T	1					T	1			T	T	7			T	I								
				_								_		_				_	T	1	_			_	Т				Т	T	_		1	_	Т	Т			1
DISTRICT:																		L						L						L				<u></u>	\perp	_]
NATURE OF THE	ENT	rity	(PI	ease	e ma	ark r	olaci	ing a	a √)																														
Private limited lia					-					G	lob	a! /	regi	iona	l fur	nd	-]												
Public limited lial	bility	com	par	ıy				7		S	tatu	itor	y bo	ard]												
Local fund										A	bod	dy e	esta	blish	ned	uno	der	an a	act (of pa	arli	ame	ent				1												
If listed places s	nacif	V'								0	the	r (p	leas	se s	pec	ifv)	;										_	-											
If listed please s		y. 								<u></u>		11										••••																	
				Г	Т	T	Т	T	T	7		_	1	PL	ACE	= 0	FIN	NCC	RP	ORA	ΑТ	ION	: [T		T	T	T			T	T	1	T			T	T	T
DATE OF INCOR	POR	AH	ON:) D	/ M	1	1/	Y	Y	Y	Y	_										L								_								
REGISTRATION			:		T	T	T	T				T												BF	30	KEF	2'S	CC	DE				:[
PERSON(S) AUTI (Please attach a d	HOR	IZE	D T	O G	IVE	INS	TR	UC'	TIO Atto	NS	TO	TH	IE C	Reso	lut	ion	- i	fan	nlic	able	e)																		
NAME/S &	T	erui	led	COL) oi	10	WEI		Till	Tile	7	T	T		T						Í	I	I			I	I	I	I										
DESIGNATION											I	T	_		1				_	-	1	+	4		_	-	+	+	+	-									
TELEPHONE		+	-	-	-	-			-	-	+	+	+	+	+	+		-	-	+	+	+	1		_	+	+	+	+	-									
MOBILE/S	-	+	-	-	\dashv	1			-	1	+	+	+	+								1					I		1										
												I	1						_	_	1	+	1			4	+	+	1	-									
E-MAIL	DI	ase p		- 44			male	to	-	ive	CD	Sac	COL	nt si	tate	mer	nts v	via e	mai	I. PI	leas	se st	ate	a g	ene	ral	cor	por	ate	\dashv									
		21 6		hie .	ouer	000	TE .	OH I	reat	iire	to r	PARA	ive (CDS	acc	cour	nt si	tate	men	ts De	ert	aini	ng	to y	oui	CI	12 5	ecce	un	t	Sig	gna	ture	/s					
		print																																					
BANK DETAILS	TO R	ЕМІ	TD	IVID	DEN	DS 8						n R	lesio	dent	Ap	plic	can	ts sl	nall	stat	e t	heir	SI	A d	eta	ils)									_	\neg			
Bank C	ode			+		_		Brai	nch	Co	de		+		_	T		T		7		丁	100	T		T				7			Г	-		7			
		۰							_			_					4 00		dor	oeito	201	in r	tele	ion	to t	he S	Sec	uriti	es	iste	ed o	on th	he (Colo	mbc	St	ock		
We hereby r Exchange th	eque at ma	st yo	u to dep	ope	en ar	our :	Secu	ain a	a Se	cou	nt fr	rom	time	to ti	a to me.	act	t as	our	aet	OSILO	UI Y	1111	Giai	1011	10	110	,,,,	u 110											
We have rec Securities Ac conditions ar	COLIN	t will	he o	oper	ated	in ac	core	anc	e w	tn tr	18 A	10JU	Bale	uii	auili	unt ((Cor	nditions of the	ns). he C	We SE	and	gree I CD	tha S F	t the	s in	forc	ities e fr	s tra	insa time	ctio to	ns v	will I	be o	arrie def	ed o	ut a d in	and the		
We hereby a represent an															- 4-	liver	red ticip	to yo	ou fr maki	om t	ime	e to	time	for	de law	posit fully	int ent	o th	e S	ecu d du	ritie ıly a	s Ad	ccou	int. \ ed to	We o do	here so.	eby		
We hereby of behalf and to		46.		ur D	odici	nant	has	full	aut	horit	tv to	de	nosi	t any	Se	curi	ities	into	the	Sec	curi	ties	Acc	coun	ıt, t	o wit	thd	raw	any	De	epos	sited	i Se	curi	ties	on	our		
															alors in		41.			tha C	יחי	2 10	cou	nt S	tate	me	nts	perl	taini	ng t	0 OI	ur C	DS	Acc	ount	will	be		
sent to the e immediately	com	addre nunic	ess	d by	us in	writ	ing i	to th	e CI	OS t	hrou	ugh (our	partic	cipar	nt.		an 620	idi oc			,,														•			
6. We undertain												Cus	todia	an B	ank)) not	tice	in w	riting	of a	any	cha	nge	of t	he	parti	cul	ars	giv€	n al	NOV	e. V\	, e e	140	36.				
	a cop a cop a cer	ov of	OU	· Ari	icles	of	Ass	ocia	ation	1/0	con	stitu y ou	ition ir B	nal d	ocu	ıme Dire	ent. ecto	ors,	as s	set o	out	bel	ow.							w si									
I hereby certify that t										CE	RT	IFIC	CAT	ΕO	FR	ES		UTK									_												_
Was passed at a dul											. VI O	-											at	whi	ich	the o	quo	rum	wa	s pr	ese	nt.							
"IT WAS RESOLVED the Terms and Cond	that	a Se	curi	ities	Acco	unt	for th	ne C	omp	any	be	ope	ned	with	the	Cer	ntral	Dep	osit	ory S	Sys	tems							ry')	and	be	ope							th
the reims and Cond	HUUNS	oi (f	U	Spus	LICOI Y	16	., 00	., 011	. 41111	- 10		-																	(Certi	ified	tru	e ex	drac	t of	min	utes	5	

DIRECTOR / SECRETARY

Form 2 B

NOTE: This application should be submitted through your Participant

To: The Central Depository Systems (Pvt.) Ltd., # 04-01. West Block, World Trade Centre, Echelon Square, Colombo 01. Authorized Signature and Stamp of Participant

Ne.	
	(Name of Corporate Body)
ncor	porated / established under
avir	its registered office at systems (Pvt.) Ltd. (CDS) agreeing to open a Securities Account, hereby declare as follows:
	(i). The Securities Account to be opened by us in the CDS shall be maintained for our benefit only. Or;
Cust	(In the event the number of beneficiaries does not exceed three persons, please complete clause 1(ii) below.) (ii). The Securities Account to be opened by us in the CDS shall be maintained for the benefit of the following persons in our capacity as odian / Trustee / Fund Manager / Unit Trust Manager.
	Name Address Nationality
	1.
	2.
	3.
	J.
<u>.</u>	(In the event there are more than three beneficiaries, please complete clause 1(iii) below.) (iii) We, in our capacity as Custodian / Trustee / Fund Manager / Unit Trust Manager shall maintain all information such as names addresses and nationalities pertaining to the ultimate beneficiaries of the account and undertake to promptly release to the CDS & the Participant such information pertaining to the beneficiaries, at any time, if required by the CDS/ # Participant. The application and the documents attached thereto bear true and correct information and no alteration, modification or falsification was
	carried out to them to hide or deflect true facts.
	In the event of a variation of any information given in the CDS Form, this Declaration and other information submitted by us along with the application to open the CDS Account, we undertake to inform the CDS & the #:Participant in writing within fourteen (14) days of such variation.
	The funds to be invested for the purchase of securities through the Securities Account to be opened with the CDS will not be funds derived from any money laundering activity or funds generated through the financing of terrorist or any other illegal activity.
	We have not been banned and/or rejected and /or suspended by any criminal/civil tribunal or administrative authority in Sri Lanka or in any other country in connection with the following offences: Engaging directly or indirectly in any transaction in relation to any property which is derived or realized directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity as defined by the Financial Transactions Reporting Act No. 6 of 2006; Receiving, possessing, concealing, disposing, of or bringing into Sri Lanka or into any other country, or for investing in Sri Lanka or in any other country, any property which is derived or realized, directly or indirectly, from any unlawful activity or from the proceeds or any unlawful activity referred to above; or Any other offence which has been defined as an offence under the Prevention of Money Laundering Act No.5 of 2006 and any amendment thereto or any similar legislation in any other part of the world.
	We hereby further declare that we are persons of good standing with no record of criminal convictions in relation to the offences stated above, in Sri Lanka or in any other country.
	We or any persons(s) associated with us and/or any entity connected to us (as a partner, shareholder, director) do not have against us or persons connected and/or associated as aforesaid any convictions/pending criminal proceeding in Sri Lanka or in any other part of the world except the following (give detailed description of any pending litigation);
	 We declare that our application and other relevant documentation to open a CDS account has not been refused or any business relationship has not been declined previously by any other Participant of the CDS.
	We further declare and agree that, should the CSE/CDS determine any statements made by us herein to the contrary, (or any such matter through publicly available information or otherwise) which would in the opinion of the CSE/CDS be detrimental to the CDS as an institution having to comply with the laws/regulations of Sri Lanka pertaining to transactions of its account holders or parties connected to such account holders, the CDS is hereby authorized to unilaterally terminate all depository and such other services connected to us and recover related costs or other expenses pertaining to this account.
).	We declare that the information set out below is true and accurate and our investments will be in accordance with such information:
	By entering my data, I expressly accept the processing of my personal data as defined in the privacy policy of the CSE Group and understand that, as provided for under any regulation laying down specific provisions for the protection of persons with regard to the processing of personal data applicable to me, I am entitled to exercise my data subject rights by sending an email to the following address: dpo@cse.lk
e he	reby confirm that;
e fui	 contents of Form 2, along with the KYC Profile {Form 2 A} and the Declaration {Form 2 B} have been duly read over and understood by us prior to signing. the information provided by us in the said Form 2, along with the KYC Profile {Form 2 A} and the Declaration {Form 2 B} is accurate. there agree that, we shall be bound by terms and conditions contained in Form 2, along with the KYC Profile {Form 2 A} and the Declaration {Form 2}
lass	*Strike out whichever is inapplicable. the Company Seal and signature/s of Director/s
s rec	uired by the Articles of Association)
nis _	day of20 #; Participant means your Stockbroker or Custodian Bank.