ASIA SECURITIES

INTEGRITY | INSIGHT | INNOVATION

Internet Trading - Client Registration Form/Agreement

View Only ☐ Full Trading Access ☐ Date

(PLEASE FILL THE FORM IN BLOCK LETTERS)

CDS Account No * A S I

Name *
(Mr./Mrs./Ms./Dr./Rev)

Contact No.

E-Mail *

* Mandatory details for the creation of an Internet Trading Account

Asia Securities (Pvt) Ltd (Broker) will not be liable for any unauthorized use of the password by third parties or for any unauthorized order or trade executed via the Asia Securities Internet Trading Facility, and for any accidental and/or erroneously executed trades via Asia Securities Internet Trading Facility. However, users may contact their Stock Broker / Investment Advisor immediately to arrange a manual trade cancellation, subject to counter party and Colombo Stock Exchange approval.

Broker is not liable directly or indirectly trading on shares by the CLIENT via Asia Securities Internet Trading Facility and unauthorized trades by the Client . The Client agrees to settle for all the trades executed via online trading system and to take the responsibility and the liability incurred by trading of shares via Asia Securities Internet Trading Systems.

The CIENT hereby agrees to use the Asia Securities Internet Trading Facility according to the Rules of the CSE/CDS, any guidelines and/or circulars that may be issued by the CSE/CDS and the provisions of the SEC Act, any rules made and directives issued by the SEC from time to time.

Signature - Client

Office use:

User ID : Account ☐ A/C Create ☐ Created E-Mailed

☐ View Only ☐ Full Trading Access

A/C Creation Date: ……..

Processed by……